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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Charles S. Schasteen, Jennifer Wu, Pierre Butlin, Pieter Hillebrand, Fredrick R. Scott Mercedes Vazquez-Aron

Application No./Patent No.: 10/652,745 Filed/Issue Date: 08/29/2003

Entitled: ANTIMICROBIAL COMPOSITIONS

Novus International, Inc. a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 016014, Frame 0275, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

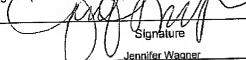
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

Jennifer Wagner

Printed or Typed Name

Staff Attorney

Title

4/6/07

Date

314-576-8886

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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NVI 5252.4

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DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 14, 2005

PTAS



102889193A

JOHN K. ROEDEL, JR. 1054
SENNIGER, POWERS, LEAVITT & ROEDEL
ONE METROPOLITAN SQUARE, 16TH FLOOR
ST. LOUIS, MO 63102UNITED STATES PATENT AND TRADEMARK OFFICE
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RECORDATION DATE: 11/22/2004

REEL/FRAME: 016014/0275

NUMBER OF PAGES: 10

BRIEF: CORRECTION TO THE SPELLING OF THE FIFTH INVENTOR

ASSIGNOR:

✓SCHASTEEN, CHARLES S.

✓DOC DATE: 01/22/2004

ASSIGNOR:

✓WU, JENNIFER

✓DOC DATE: 01/22/2004

ASSIGNOR:

✓BUTTIN, PIERRE

✓DOC DATE: 01/22/2004

ASSIGNOR:

✓HILLEBRAND, PIETER

✓DOC DATE: 01/22/2004

ASSIGNOR:

✓SCOTT, FREDRICK R.

✓DOC DATE: 01/22/2004

ASSIGNOR:

✓VAZQUEZ-ANON, MERCEDES

✓DOC DATE: 01/30/2004

ASSIGNEE:

NOVUS INTERNATIONAL, INC. ✓
530 MARYVILLE CENTRE DRIVE
ST. LOUIS, MISSOURI 63141

SERIAL NUMBER: 10652745 ✓

PATENT NUMBER:

TITLE: ANTIMICROBIAL COMPOSITIONS ✓

FILING DATE: 08/29/2003 ✓

ISSUE DATE:

SHARON BROOKS, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

11/22/04
Form PTO-1595
(Rev. 10/021)
OMB No. 0651-0027 (exp. 8/30/2005)

RECORDATION
PAT

11-24-2004
102889193

DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings $\Rightarrow \Rightarrow \Rightarrow \nabla$
NVI 5252.4

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Charles S. Schasteen, Jennifer Wu, Pierre Buttin,
Pieter Hillebrand, Fredrick R. Scott,
Mercedes Vazquez-Anon

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other Resubmission

Execution Date:

2. Name and address of receiving party(ies)

Name: Novus International, Inc.

Internal Address:

Street Address: 530 Maryville Centre Drive

City: St. Louis

State: Missouri

Zip: 63141

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/652,745

B. Patent No.(s)

Additional number(s) attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John K. Roedel, Jr.

Internal Address:

Senniger, Powers, Leavitt & Roedel

Street Address:

One Metropolltan Square, 16th Floor

City: St. Louis State: Missouri Zip: 63102

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

19-1345

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9. Signature.

John K. Roedel, Jr.

Name of Person Signing

John K. Roedel, Jr.
Signature

Nov 16, 2004
Date

Total number of pages including cover sheet, attachments, and document: 9

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05-19-2004

Form PTO-1595

(Rev. 10/031)

OMB No. 0951-0027 (exp.)

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102747917

HEET

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U. S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Charles S. Schasteen, Jennifer Wu, Pierre Butlin,
Pieter Hillebrand, Frederick R. Scott,
Mercedes Vazquez-Anon

S. 17.04

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: January 22 and 30, 2004

2. Name and address of receiving party(ies)

Name: Novus International, Inc.

Internal Address: _____

Street Address: 530 Maryville Centre Drive

City: St. Louis

State: Missouri

Zip: 63141

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/652,745

B. Patent No.(s) _____

Additional number(s) attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John K. Roedel, Jr.

Internal Address: _____

Senniger, Powers, Leavitt & Roedel

Street Address: _____

One Metropolitan Square, 16th Floor

City: St. Louis State: Missouri Zip: 63102

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

19-1345

(Attach duplicate copy of this page if paying by deposit account.)

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9. Signature.

John K. Roedel, Jr.

Name of Person Signing

000000021 10552745

Signature

Date

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Alexandria, VA 22313-1450OFFICE OF PUBLIC RECORDS
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05/18/2004

01 FC:0021

ASSIGNMENT

WHEREAS, We Charles S. Schasteen of St. Louis, Missouri, Jennifer Wu of St. Louis, Missouri, Pierre Buttin of Nantes, France, Pieter Hillebrand of Breda, Netherlands, Fredrick R. Scott of St. Louis, Missouri, and Mercedes Vazquez-Anon of St. Louis, Missouri, have invented an improvement in ANTIMICROBIAL COMPOSITIONS (File NVI 5252.4) and have executed an application for a United States patent based thereon assigned Serial No. 10/652,745, filed August 29, 2003;

AND, WHEREAS, Novus International Inc. of St. Louis, Missouri, a corporation of the State of Delaware (hereinafter referred to as "ASSIGNEE") is desirous of acquiring certain rights thereunder;

NOW, THEREFORE, for one dollar and other good and valuable consideration, receipt of all of which is hereby acknowledged, we have agreed to and do hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto in and to said invention, said United States application, any other United States applications (including provisional, non-provisional, divisional, continuing, or reissue applications) based in whole or in part on said United States application or in whole or in part on said invention, any foreign applications based in whole or in part on any of the aforesaid United States applications or in whole or in part on said invention, and any and all patents

(including extensions thereof) of any country which have been or may be granted on any of the aforesaid applications or on said invention or any part thereof;

TO BE HELD AND ENJOYED by said ASSIGNEE, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us had no sale and assignment of said interest been made;

AND We hereby authorize and request the Commissioner of Patents of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any of them, or upon said invention or any part thereof, to said ASSIGNEE;

AND We hereby jointly and severally agree for ourselves and for our respective heirs, executors and administrators, to execute without further consideration any further lawful documents and any further assurances, and any provisional, non-provisional, divisional, continuing, reissue, or other applications for patents of any country, that may be deemed necessary by said ASSIGNEE fully to secure to said ASSIGNEE its interest as aforesaid in and to said invention or any part thereof, and in and to said several patents or any of them;

AND We hereby jointly and severally covenant for ourselves and our respective legal representatives that we have granted no right or license to make, use or sell said invention, to anyone except said ASSIGNEE, that prior to the execution of this deed our right, title and interest in said invention had not been

otherwise encumbered, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we have hereunto set our hands.

1/22/04
Date

Charles S. Schasteen
Charles S. Schasteen

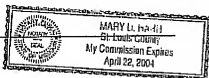
STATE OF MISSOURI)
COUNTY OF ST. LOUIS)

On this 22nd day of January, ²⁰⁰⁴~~2003~~ ^{Feb}, before me, a Notary Public, personally appeared Charles S. Schasteen to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Mary D. Halim
Notary Public

My Commission Expires:



1/22/04

Date

Jennifer Wu
Jennifer Wu

STATE OF MISSOURI)

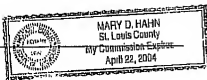
COUNTY OF ST. LOUIS)

On this 22nd day of January, 2004, before me, a Notary Public, personally appeared Jennifer Wu to me known to be the person described, in and who executed, the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal and the date and year last above written.


Mary D. Hahn
Notary Public


My Commission Expires:




IN WITNESS WHEREOF, we have hereunto set our hands.

22/01/04
Date


Pierre Buttin


Witness Signature

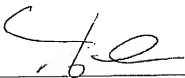
KRIESCHER CAROLINE
Witness Printed Name


Witness Signature

BAUDICHAU ALAIN
Witness Printed Name


IN WITNESS WHEREOF, we have hereunto set our hands.

27-1-04
Date


Pieter Hillebrand


Witness Signature

David E. Parker
Witness Printed Name


Witness Signature

Alan Boudreau
Witness Printed Name

1/22/04

Date

Fredrick R. Scott
Fredrick R. Scott

STATE OF MISSOURI)

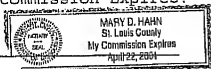
COUNTY OF ST. LOUIS)

On this 22nd day of January, ²⁰⁰⁴~~2003~~, before me, a Notary Public, personally appeared Fredrick R. Scott to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Mary D. Hahn
Notary Public

My Commission Expires:



1/30/2004
Date

Mercedes Vazquez Anon
Mercedes Vazquez-Anon

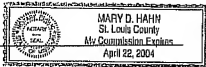
STATE OF MISSOURI)
COUNTY OF ST. LOUIS)

On this 30th day of January, 2004, before me, a
Notary Public, personally appeared Mercedes Vazquez-Anon to me
known to be the person described in and who executed the
foregoing assignment and acknowledged that he executed same as
his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal
the date and year last above written.

Mary D. Hahn
Notary Public

My Commission Expires:





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NOV 5252.4

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOVEMBER 04, 2004

PTAS

SENNIGER, POWERS, LEAVITT & ROEDEL
JOHN K. ROEDEL, JR. /JSH
ONE METROPOLITAN SQUARE, 16TH FLOOR
ST. LOUIS, MO 63102

102747917A

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RECORDATION DATE: 05/17/2004

REEL/FRAME: 015330/0531

NUMBER OF PAGES: 9

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

✓SCHASTEEN, CHARLES S.

✓DOC DATE: 01/22/2004

ASSIGNOR:

✓WU, JENNIFER

✓DOC DATE: 01/30/2004

ASSIGNOR:

✓BUTTIN, PIERRE

✓DOC DATE: 01/22/2004

ASSIGNOR:

✓HILLEBRAND, PIETER

✓DOC DATE: 01/30/2004

ASSIGNOR:

✓SCOTT, FREDERICK R.

✓DOC DATE: 01/22/2004

ASSIGNOR:

✓VAZQUEZ-ANON, MERCEDES

✓DOC DATE: 01/30/2004

needs
correction

ASSIGNEE:

NOVUS INTERNATIONAL, INC. ✓
530 MARYVILLE CENTRE DRIVE
ST. LOUIS, MISSOURI 63141

SERIAL NUMBER: 10652745✓

PATENT NUMBER:

TITLE: ANTIMICROBIAL COMPOSITIONS ✓

✓ FILING DATE: 08/29/2003

ISSUE DATE:

VIOLET MCCOY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

**SENNIGER
POWERS**

ATTORNEYS
AT LAW

PATENTS
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ONE METROPOLITAN SQUARE
16TH FLOOR
SAINT LOUIS, MISSOURI 63102

314-231-5400 V
314-231-4342 F

SENNIGER.COM

JOHN K. ROEDEL, JR.

November 16, 2004

Application of Charles S. Schasteen et al.
Serial No. 10/652,745
Filed August 29, 2003
Confirmation No. 1765
For ANTIMICROBIAL COMPOSITIONS
Examiner S. Kantamneni

Art Unit 1617

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Re: Our File NVI 5252.4

Sir:

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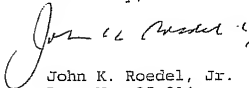
- * The enclosed copy of the Notice of Recordation of the Assignment document regarding the above-identified application incorrectly lists the fifth inventor's first name incorrectly as "Frederick."

The correct information is: Fredrick

- * Also enclosed is a new Recordation Cover Sheet and a copy of the original Recordation Cover Sheet. If an additional fee is required to effect this correction, the Commissioner is hereby authorized to charge fees to Deposit Account No. 19-1345.

Please send us a corrected the Notice of Recordation.

Sincerely,



John K. Roedel, Jr.
Reg. No. 25,914

JKRcwa
*Enclosures